LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD 9643 BROOKLINE AVENUE, SUITE 121 BATON ROUGE, LOUISIANA 70809-1443 (225) 925-6291

IN THE MATTER OF:)	CASE NO.: 2013-60
)	
COMPLIANCE CONSULTANTS, INC.)	
DECDONIDENIT)	
RESPONDENT)	
)	

CONSENT ORDER

The Louisiana Professional Engineering and Land Surveying Board [hereinafter the "Board"], by and through its Executive Director, pursuant to authorization by the Board, and Compliance Consultants, Inc. [hereinafter "Respondent"], by and through its duly authorized undersigned representative, hereby agree to the following:

At all times material hereto, Respondent was licensed in the State of Louisiana as a professional engineering firm, number EF – 1535. At all times material hereto, Respondent was not licensed in the State of Louisiana as a professional land surveying firm and, therefore, was not authorized to practice and/or to offer to practice land surveying in the State of Louisiana.

An investigation was opened based upon the Board's receipt of information and documents which suggested that Respondent may have engaged in the unlicensed practice of and/or offering to practice land surveying in Louisiana. The investigation disclosed that in 2012 Respondent (through its owners and officers, Stephen D. Field, P.E. and Mary M. Field, P.E.) prepared, sealed with Mr. Field's and Mrs. Field's Louisiana professional engineer seals, signed and submitted to the St. John the Baptist Parish Planning and Zoning Department for permit review a "Final Plat" for Phase 1 of the Heron Bay Subdivision project in St. John the Baptist Parish, Louisiana.

La. R.S. 37:681 and 37:700(A)(1) and (9), to wit LAC Title 46:LXI§2301(B), prohibit the practicing of and/or offering to practice land surveying in Louisiana without proper licensure. La. R.S. 37:700(H) permits the Board to take enforcement action against persons Page 1 of 4

who violate La. R.S. 37:681 and 37:700(A)(1) and (9), to wit LAC Title 46:LXI§2301(B), upon a finding of guilt following the preferral of charges, notice and hearing and a majority vote of its entire membership authorized to participate in the proceeding. La. R.S. 37:681, La. R.S. 37:700(A)(1) and (9), La. R.S. 37:700(H) and LAC Title 46:LXI§2301(B) were in effect at all times material hereto.

It is undisputed that at all times material hereto Respondent was not licensed to practice and/or offer to practice land surveying in Louisiana and that in 2012 Respondent practiced and/or offered to practice land surveying in Louisiana without proper licensure.

By letter dated July 8, 2013, the Board gave notice to Respondent that it was considering the preferral of charges against Respondent on the grounds that it may have violated La. R.S. 37:681 and 37:700(A)(1) and (9), to wit LAC Title 46:LXI§2301(B), relative to practicing and/or offering to practice land surveying in Louisiana without proper licensure.

Wishing to dispense with the need for further enforcement action and to conclude the instant proceeding without further delay and expense, for the purpose of this proceeding only, Respondent and the Board do hereby enter into this Consent Order, in which Respondent of its own free will consents to the issuance of a Consent Order by the Board, wherein Respondent agrees to (a) pay a fine of one thousand nine hundred (\$1,900.00) dollars, (b) pay administrative costs of three hundred thirty three and 74/100 (\$333.74) dollars, and (c) immediately cease and desist the preparation, sealing (with a Louisiana professional engineer seal), signing and issuance of survey plats or otherwise the practicing and/or offering to practice land surveying in Louisiana until such time as it is duly licensed by the Board; (d) the publication of this Consent Order on the Board's website and a summary of this matter in the Board's official journal, the *Louisiana Engineer and Surveyor Journal*, and the reporting of this matter to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name.

Respondent admits to violations of the referenced laws and/or rules regarding the practicing of and/or offering to practice land surveying without proper licensure.

Respondent acknowledges awareness of said laws and/or rules and states that it will comply Page 2 of 4

with all applicable laws and rules henceforth. Respondent has been advised of its right to be represented by counsel before the Board and/or to appear at any hearing personally or by counsel and present witnesses and evidence in its own behalf, and it hereby waives this right and its right to appeal; and it states affirmatively that it has been afforded all administrative remedies due it under the law. Respondent further acknowledges awareness of the fact that the signed original of this Consent Order will remain in the custody of the Board as a public record and will be made available for public inspection and copying upon request.

Therefore, in consideration of the foregoing and by signing this Consent Order,
Respondent does hereby waive its right to a hearing before the Board, to the presenting of
evidence and witnesses in its behalf, to Findings of Fact and Conclusions of Law in this case,
and to judicial review of this Consent Order.

Respondent hereby represents (a) that it fully understands the meaning and intent of this Consent Order, including but not limited to its final and binding effect, (b) that it has voluntarily entered into this Consent Order and that no other promise or agreement of any kind has been made to or with it by any person whatsoever to cause the execution of this instrument and (c) that the sanctions set forth in this Consent Order do not prevent the Board from taking further disciplinary or enforcement action against Respondent on matters not specifically addressed in this Consent Order.

WHEREFORE, the Louisiana Professional Engineering and Land Surveying Board and Respondent agree that:

- 1. Respondent shall pay a fine of one thousand nine hundred (\$1,900.00) dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and
- 2. Respondent shall pay administrative costs of three hundred thirty three and 74/100 (\$333.74) dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and
- 3. Respondent shall immediately cease and desist the preparation, sealing (with a Louisiana professional engineer seal), signing and issuance of survey plats or otherwise the $Page\ 3$ of 4

practicing of and/or offering to practice land surveying in Louisiana until such time as it is duly licensed by the Board;

- This Consent Order shall be published on the Board's website and a summary of this matter shall be printed in the official journal of the Board, the Louisiana Engineer and Surveyor Journal, and reported to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name; and
- 5. This Consent Order shall not become effective unless and until it is accepted and signed by and on behalf of the Board. Should the Board not accept and sign this Consent Order, it is agreed that presentation of this matter to the Board shall not prejudice the Board or any of its members, staff, attorneys or representatives from further participation, consideration, or resolution of any further proceedings herein.

LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

DATE: March 17, 2014

COMPLIANCE CONSULTANTS, INC., Respondent

DATE: 11-25-2013

Witnesses to the signature of Compliance Consultants, Inc.

Print Name:

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