MINUTES OF THE REGULAR MEETING OF THE LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD 9643 BROOKLINE AVENUE, SUITE 121 BATON ROUGE, LOUISIANA 70809-1433 May 19, 2014

At 8:00 a.m. on May 19, 2014, M. Ernest Gammon, Sr., P.L.S. called the meeting to order in Baton Rouge, Louisiana, with the following members present:

M. Ernest Gammon, Sr., P.L.S. Theodore H. Thompson, P.E. Kevin E. Crosby, P.E., P.L.S. Chairman Vice Chairman Secretary

Ali M. Mustapha, P.E. David L. Patterson, P.L.S. John T. Irving, P.E. Paul N. Hale, Jr., Ph.D., P.E. Christopher P. Knotts, P.E. D. Scott Phillips, P.E., P.L.S. Alan D. Krouse, P.E.

Also present:

Donna D. Sentell, Executive Director

Joe H. Harman, P.E., Technical Support Staff

Tyson Ducote, Board Investigator Jeff Morgan, Board Investigator

Richard L. Savoie, P.E., Emeritus Board Member

Not present:

John W. "Billy" Moore, P.E.

The invocation was led by Chairman Gammon and the pledge by Mr. Thompson.

Public comment time was recognized by Chairman Gammon.

The Board unanimously approved the motion made by Mr. Mustapha, seconded by Mr. Knotts, to accept the May 19, 2014 proposed Call for the Meeting.

The Board unanimously approved the motion made by Mr. Crosby, seconded by Mr. Mustapha, with Mr. Krouse abstaining, to approve the Minutes from the March 17, 2014 Board meeting.

The Board unanimously approved the motion made by Mr. Knotts, seconded by Mr. Mustapha, to schedule the Board's next regular meeting for Monday and Tuesday, July 21-22, 2014 in Baton Rouge, Louisiana.

The Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Knotts, to accept the May 19, 2014 proposed Board Meeting Agenda.

The Board's March task list was reviewed.

Chairman Gammon introduced Jeff Morgan, the new Board investigator.

Chairman Gammon charged an ad hoc committee, comprised of Mr. Mustapha, Mr. Thompson and Mr. Krouse, with evaluating whether the Board needs an Assistant Executive Director.

Committee Reports

Finance Committee

Mr. Patterson presented the report for the Finance Committee.

The Board unanimously approved the motion made by the Finance Committee to approve the amended FY 13-14 budget as presented.

The Board unanimously approved the motion made by the Finance Committee to reinvest the six CDs set to mature before the July 21, 2014 Board meeting.

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67 68 The Board unanimously approved the motion made by the Land Surveying Committee to authorize the Executive Director to enter into a contract with the **Louisiana Society of Professional Surveyors** to provide assistance with the Louisiana Laws of Surveying exam administrations.

The term of the contract will be for one year beginning July 1, 2014 and ending June 30, 2015, in the amount not to exceed \$10,000.00.

Mr. D. Scott Landry, Board Attorney, entered the meeting at 8:20 a.m.

Enforcement

Mr. Ducote presented the enforcement report.

The Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Crosby, to reconsider the motion from the March Board meeting to dismiss Case #2013-54.

The Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Crosby, to remand Case #2013-54 to the Complaint Review Committee comprised of Mr. Gammon, Mr. Knotts and Dr. Hale, for further review and recommendation.

Old Business/New Business

The Board unanimously approved the motion made by the Structural Engineer Designation Ad Hoc Committee to approve the following ruling on Dr. Mattei's Petition for Declaratory Order and Ruling:

RULING ON PETITION FOR DECLARATORY ORDER

1.

On January 16, 2014, Norma Jean Mattei, Ph.D., P.E. ("Petitioner") filed with the Louisiana Professional Engineering and Land Surveying Board (the "Board") a petition for declaratory order and ruling pursuant to LAC Title 46:LXI§727.

2.

Petitioner seeks a declaratory order as to whether a professional engineer who is competent to practice structural engineering, but who is not listed by the Board in the discipline of structural engineering, is permitted to refer to himself/herself as a "Structural Engineer" in Louisiana.

3.

La. R.S. 37:693(A)(2) provides as follows:

Professional engineers will be issued licenses by the board as a professional engineer. The board shall list a professional engineer in one or more of the disciplines of engineering approved by the National Council of Examiners for Engineering and Surveying to signify the area in which the engineer has demonstrated competence pursuant to education, experience, and examination. The professional engineer may use a stamp that designates the discipline of engineering in which the board has listed the engineer or a stamp that identifies the licensee as a professional engineer.

4

La. R.S. 37:698(A) provides, in pertinent part, as follows:

The board shall have the power to take disciplinary action against any licensee . . . found by the board to be guilty of any of the following acts or offenses:

- (2) Any fraud, deceit, ... material misrepresentation, gross incompetence, or gross misconduct in the practice of engineering ...
- (6) Violation of any provision of this Chapter or any rules or regulations adopted and promulgated by the board.
 - (11) The use of any advertising or solicitation which is false or misleading.
- (13) Knowingly making or signing false statements, certificates, or affidavits in connection with the practice of engineering . . .
 - (18) Practicing or offering to practice engineering . . . when not qualified.

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LAC Title 46:LXI§105(A) defines "fraud, deceit or misrepresentation" as: intentional deception to secure gain, through attempts to deliberately conceal, mislead, or misrepresent the truth with the intent to have others take some action relying thereupon, or any act which provides incorrect, false, or misleading information, upon which others might rely.

6.

LAC Title 46:LXI§105(A) defines "gross incompetence" as:

the practice of engineering . . . by a licensee who is either incapable of exercising ordinary care and diligence or who lacks the ability and skill necessary to properly perform the duty he/she undertakes. (The practice of engineering in an area other than that in which the licensee has been issued a license will not be considered as evidence of gross incompetence, provided the licensee is otherwise qualified by education or experience.) Examples of practice which the board may consider to constitute gross incompetence include but are not limited to:

- a. the undertaking of assignments other than those for which the licensee is qualified by education or experience in the specific technical fields involved; or
- b. the affixing of the licensee's signature or seal to any engineering ... plan or document dealing with the subject matter in which the licensee lacks competence by virtue of education or experience.

7.

LAC Title 46:LXI§105(A) defines "gross misconduct", in pertinent part, as the practice of engineering ... by a licensee who performs any acts, causes any omissions or makes any assertions or representations which are fraudulent, deceitful, or misleading, or which in any manner whatsoever discredits or tends to discredit the profession[] of engineering Gross misconduct as used herein shall also include any act or practice in violation of the board's rules of professional conduct ... or use of seals."

8.

LAC Title 46:LXI§1901(A) provides as follows:

The licensure law provides that professional engineers will be issued licenses by the board as a Professional Engineer and that the board shall list a professional engineer in one or more of the disciplines of engineering approved by the National Council of Examiners for Engineering and Surveying (NCEES).

9.

LAC Title 46:LXI§2505 provides, in pertinent part, as follows:

- A. Licensees shall perform services only in the area of their competence.
- B. Licensees shall undertake assignments only when qualified by education or experience in the specific technical fields of engineering . . . involved.
- C. Licensees shall not affix their signatures or seals to any plans or documents dealing with subject matters in which they lack competence. . . .
- D. Licensees may accept an assignment outside of their areas of competence to the extent that their services are restricted to those phases of the project in which they are qualified, and to the extent that they are satisfied that all other phases of such project will be performed or supervised by licensed, qualified associates, consultants, or employees, in which case they may then seal, sign and date the documents for the total project.

10.

LAC Title 46:LXI§2509 provides, in pertinent part, as follows:

- A. Licensees shall avoid improper solicitation of professional employment or services.
 - B. Licensees shall not falsify or permit:
- 1. misrepresentation of the licensee or any associate's academic or professional qualifications

11.

LAC Title 46:LXI§2511 provides, in pertinent part, as follows:

- A. Licensees shall not make exaggerated, misleading, deceptive or false statements or claims about professional qualifications, experience or performance in brochures, correspondence, listings, or other public communications.
- B. The prohibitions listed in Subsection A include, but are not limited to:
 1. the use of statements containing a material misrepresentation of fact;

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- 2. omitting a material fact necessary to keep the statement from being misleading;
- 3. the use of statements intended or likely to create an unjustified expectation; and
 - 4. the use of statements containing a prediction of future success.

ORDER

After due consideration of the petition for declaratory order and ruling filed by Petitioner and after discussion by the Board during its meeting on May 19, 2014:

IT IS THE RULING of the Board that:

12

A professional engineer licensed by the Board is permitted to describe his/her structural engineering expertise or experience if that is an area of his/her competence (e.g., "expert in structural engineering", "years of structural engineering experience" or some other similar descriptor), even if he/she is not listed by the Board in the discipline of structural engineering.

However, only a professional engineer listed by the Board in the discipline of structural engineering is permitted to refer to himself/herself as a "Structural Engineer" or "S.E." or to otherwise use the designation "Structural Engineer" or "S.E." in reference to himself/herself in Louisiana.

The Board unanimously approved the motion made by the ad hoc committee, comprised of Mr. Crosby, Mr. Thompson and Mr. Knotts, to deny the request of **Ray A. Davezac** to reinstate his revoked professional engineer license.

The Board recessed at 9:35 a.m. and resumed at 9:55 a.m.

The Board unanimously approved the motion made by Mr. Mustapha, seconded by Mr. Thompson, to approve the travel expenses for Board members, staff and Emeritus Board members to attend the 2014 NCEES Annual Meeting, August 20-23, 2014, in Seattle, Washington.

Closing Business

The Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Mustapha, to approve all committee recommendations and actions.

The Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Knotts, to acknowledge and confirm all licenses and certificates issued by the Board.

The Board unanimously approved the motion made by Mr. Mustapha, seconded by Dr. Hale, to approve all Board expenses.

The Board recessed at 10:15 a.m. and resumed at 1:25 p.m.

Enforcement (continued)

Formal Hearing in Case #2013-66 and Case #2013-100

Ms. Celia Cangelosi, Esq., Ms. Rachel Torres, Ms. Ann Trappey, P.E., Mr. Desmond C. Sprawls, P.E., P.L.S., Mr. Jack Whitehead, Esq., Mr. James Madison, Jr. Esq., and Mr. James Madison, III, Esq. entered the meeting at 1:30 p.m.

Mr. Knotts, Mr. Irving, Mr. Patterson and Mr. Krouse exited the meeting at 1:34 p.m. Mr. Knotts, Mr. Irving and Mr. Patterson were members of the Complaint Review Committee in these cases and did not participate in the deliberations or any of the votes associated with the hearing. Mr. Krouse recused himself and did not participate in the deliberations or any of the votes associated with the hearing.

The formal hearing began at 1:40 p.m.

At 5:55 p.m., the Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Mustapha, to enter into executive session to conduct deliberations.

At 7:25 p.m., the Board unanimously approved the motion made by Mr. Thompson, seconded by Mr. Mustapha, to end the executive session.

After hearing all the evidence and arguments, the Board unanimously approved the motion made by Mr. Crosby, seconded by Mr. Thompson, that **Desmond C. Sprawls**, **P.E., P.L.S.** be found guilty of gross misconduct under La. R.S. 37:698(A)(2) and violations of La. R.S. 37:698(A)(6), *to wit* LAC Title 46:LXI§2507(A) and (B), as charged.

The Board also unanimously approved the motion made by Mr. Crosby, seconded by Mr. Thompson, that Mr. Sprawls be assessed the following sanctions:

- Fine of \$1,000
- One-half of the actual administrative costs for both cases through the date of the hearing
- Cease and desist
- Publication on the Board's website and in the Journal with name

Additionally, after hearing all the evidence and arguments, the Board unanimously approved the motion made by Mr. Crosby, seconded by Mr. Thompson, that **BBC Engineering, Inc., a Division of Forte and Tablada, Inc.**, be found guilty of gross misconduct under La. R.S. 37:698(C), *to wit* La. R.S. 37:698(A)(2) and violations of La. R.S. 37:698(C), *to wit* La. R.S. 37:698(A)(6) and LAC Title 46:LXI§2507(A) and (B), as charged.

The Board also unanimously approved the motion made by Mr. Crosby, seconded by Mr. Thompson, that BBC Engineering, Inc., a Division of Fort and Tablada, Inc., be assessed the following sanctions:

- Fine of \$1,000
- One-half of the actual administrative costs for both cases through the date of the hearing
- Cease and desist
- Online Louisiana Laws/Rules Quiz by each supervising professional
- Online Louisiana Professionalism/Ethics Quiz by each supervising professional
- Publication on the Board's website and in the Journal with name

Mr. Madison, Jr. requested that, on behalf of Mr. Sprawls, his objection to the Board's findings be reflected in the record.

Closing Business (continued)

The Board unanimously approved the motion made by Dr. Hale, seconded by Mr. Thompson, to adjourn.

The meeting adjourned at 7:30 p.m. on Monday, May 19, 2014.

M. Ernest Gammon, Sr., P.L.S.

Chairman

Kevin E. Crosby, P.E., F Secretary

- 5 -